

# 2014 CANDIDATE FINANCIAL DISCLOSURE

UCA §20A-11-1603 requires candidates for state constitutional offices, state legislative office and the State Board of Education to disclose the following information when declaring candidacy in accordance with UCA §76-8-109. Please refer to the attached applicable code sections for any clarification.

\_\_\_\_\_  
(Print name)

for the office of \_\_\_\_\_ District \_\_\_\_\_

Primary employer name and address

Brief description of employment, including the filer's occupation and, as applicable, job title

Name of any entity owned or in which filer is an officer

Brief description of the type of business or activity conducted by the entity owned or in which filer is an officer

Filer's position in the entity

Name of each entity that has paid \$5,000 or more in income to the filer within the one-year period ending immediately before the date of the disclosure form

Brief description of the type of business or activity conducted by the entity described in the previous section

Name of each entity in which the filer hold any stocks or bonds having a fair market value of \$5,000 or more (excludes funds managed by a third party, including blind trusts, managed investment accounts, and mutual funds)

Brief description of the type of business or activity conducted by the entity in which stocks are held

Name of organization or entity, not listed above, for which the filer serves on the board of directors or in any other type of formal advisory capacity

Brief description of the type of business or activity conducted by the entity

Type of advisory position held by the filer within the organization or entity

(Optional) Real property in which the filer holds an ownership or other financial interest that the filer believes may constitute a conflict of interest

Description of the real property named in the previous section

Description of the type of interest held by the filer in the property

Name of filer's spouse and any other adult residing in the filer's household that is not related by blood or marriage, as applicable

Brief description of the employment and occupation of the filer's spouse and any other adult residing in the filer's household that is not related by blood or marriage, as applicable

(Optional) Description of any other matter or interest that the filer believes may constitute a conflict of interest

I believe this form is true and accurate to the best of my knowledge.

\_\_\_\_\_  
(Signature of filer)

\_\_\_\_\_  
(Date)

**20A-11-1603. Financial disclosure form -- Required when filing for candidacy -- Public availability.**

(1) Candidates seeking the following offices shall file a financial disclosure with the filing officer at the time of filing a declaration of candidacy:

- (a) state constitutional officer;
- (b) state legislator; or
- (c) State Board of Education member.

(2) A filing officer may not accept a declaration of candidacy for an office listed in Subsection (1) unless the declaration of candidacy is accompanied by the financial disclosure required by this section.

(3) The financial disclosure form shall contain the same requirements and shall be in the same format as the financial disclosure form described in Section 76-8-109.

(4) The financial disclosure form shall:

- (a) be made available for public inspection at the filing officer's place of business;
- (b) if the filing officer is an individual other than the lieutenant governor, be provided to the lieutenant governor within five business days of the date of filing and be made publicly available at the Office of the Lieutenant Governor; and

- (c) be made publicly available on the Statewide Electronic Voter Information Website administered by the lieutenant governor.

**76-8-109. Failure to disclose conflict of interest.**

(1) As used in this section:

(a) "Conflict of interest" means an action that is taken by a regulated officeholder that the officeholder reasonably believes may cause direct financial benefit or detriment to the officeholder, a member of the officeholder's immediate family, or an entity that the officeholder is required to disclose under the provisions of this section, and that benefit or detriment is distinguishable from the effects of that action on the public or on the officeholder's profession, occupation, or association generally.

(b) "Entity" means a corporation, a partnership, a limited liability company, a limited partnership, a sole proprietorship, an association, a cooperative, a trust, an organization, a joint venture, a governmental entity, an unincorporated organization, or any other legal entity, whether established primarily for the purpose of gain or economic profit or not.

(c) "Filer" means the individual filing a financial declaration under this section.

(d) "Immediate family" means the regulated officeholder's spouse and children living in the officeholder's immediate household.

(e) "Income" means earnings, compensation, or any other payment made to an individual for gain, regardless of source, whether denominated as wages, salary, commission, pay, bonus, severance pay, incentive pay, contract payment, interest, per diem, expenses, reimbursement, dividends, or otherwise.

(f) "Regulated officeholder" means an individual that is required to file a financial disclosure under the provisions and requirements of this section.

(g) "State constitutional officer" means the governor, the lieutenant governor, the state auditor, the state treasurer, or the attorney general.

(4)(b) The financial disclosure form shall include:

- (i) the filer's name;
- (ii) the name and address of the filer's primary employer;
- (iii) a brief description of the filer's employment, including the filer's occupation and, as applicable, job title;
- (iv) for each entity in which the filer is an owner or an officer:

(A) the name of the entity;

(B) a brief description of the type of business or activity conducted by the entity; and

(C) the filer's position in the entity;

(v) for each entity that has paid \$5,000 or more in income to the filer within the one-year period ending immediately before the date of the disclosure form:

(A) the name of the entity; and

(B) a brief description of the type of business or activity conducted by the entity;

(vi) for each entity in which the filer holds any stocks or bonds having a fair market value of \$5,000 or more as of the date of the disclosure form, but excluding funds that are managed by a third party, including blind trusts, managed investment accounts, and mutual funds:

(A) the name of the entity; and

(B) a brief description of the type of business or activity conducted by the entity;

(vii) for each entity not listed in Subsections (4)(b)(iv) through (4)(b)(vi), in which the filer serves on the board of directors or in any other type of formal advisory capacity:

(A) the name of the entity or organization;

(B) a brief description of the type of business or activity conducted by the entity; and

(C) the type of advisory position held by the filer;

(viii) at the option of the filer, any real property in which the filer holds an ownership or other financial interest that the filer believes may constitute a conflict of interest, including:

(A) a description of the real property; and

(B) a description of the type of interest held by the filer in the property;

(ix) the name of the filer's spouse and any other adult residing in the filer's household that is not related by blood or marriage, as applicable;

(x) a brief description of the employment and occupation of the filer's spouse and any other adult residing in the filer's household that is not related by blood or marriage, as applicable;

(xi) at the option of the filer, a description of any other matter or interest that the filer believes may constitute a conflict of interest;

(xii) the date the form was completed;

(xiii) a statement that the filer believes that the form is true and accurate to the best of the filer's knowledge; and

(xiv) the signature of the filer.